HYDROGEN BONDING EFFECTS ON THE REACTIVITY OF A PREASSOCIATING α -NUCLEOPHILE. THE SECONDARY-SIDE β CD HYDROXYLAMINE

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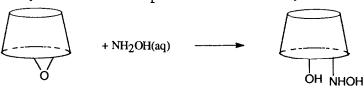
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Summary: The secondary-side hydroxylamine derivative of β -cyclodextrin demonstrates base-catalyzed transesterification from pH 6.5-9.5, while the primary-side derivative does not.

We have reported previously that the primary-side β -cyclodextrinyl hydroxylamine (1°- β CDNHOH) binds and transacylates both activated and less activated phenyl esters. However, the primary locus of phenyl ester binding is at the 2°-side. Furthermore, hydrogen-bonding at the β CD 2°-side is anticipated to modulate the reactivity of an appended α -nucleophile just as it does the 2°-OH of β CD itself. We now report the synthesis and characterization of the 2°-side β -cyclodextrinyl hydroxylamine (2°- β CDNHOH), whose reactivity is influenced by intramolecular hydrogen bonding.

 $2^{\rm O}$ -\$\beta\$CDOTs (a mixture of mono- and ditosylates) was prepared from \$\beta\$-cyclodextrin (\$\beta\$CD) according to the procedure of D'Souza and was converted to the \$\beta\$CD-manno-2,3-epoxide (1) by stirring in aqueous ammonium bicarbonate solution. Second Passage of 1 through a Dowex mixed bed ion exchange column removed unwanted salts. As solution of 1 in a 50% aqueous solution of hydroxylamine was stirred overnight under argon at ambient temperature. Two precipitations from ethanol removed free hydroxylamine and gave 2 as a colorless solid in 62% yield. TLC8 showed spots at Rf 0.28 for the monohydroxylamino cyclodextrin and at Rf 0.22 for one or more (presumed) dihydroxylamino



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cyclodextrins. Elemental analysis of the product sample likewise established a ratio of 1.4 nitrogens per CD unit, corresponding to a mixture of 60% mono- and 40% di-hydroxylamino cyclodextrin. 9 1 H and 13 C NMR spectra, while complicated, are likewise consistent with the structure asignment. Diaxial ring opening of the epoxide by hydroxylamine is predicted by the known reactivity of 1^5 and of related glucose derivatives. 10

Rate constants for the reaction of p-nitrophenylacetate (PNPA) with 2° - β CDNHOH, 1° - β CDNHOH, CH₃NHOH, and β CD were measured at several pH values (Figure 1). As is apparent from Figure 1, 2° - β CDNHOH is less reactive towards PNPA than is 1° - β CDNHOH under identical conditions.

However, the reactivity of 2° - β CDNHOH is pH dependent (a 19-fold increase from pH 6.5-9.5) while that of 1° - β CDNHOH is virtually pH independent over the same range

Reactions of PNPA with Selected Nucleophiles at 25°C

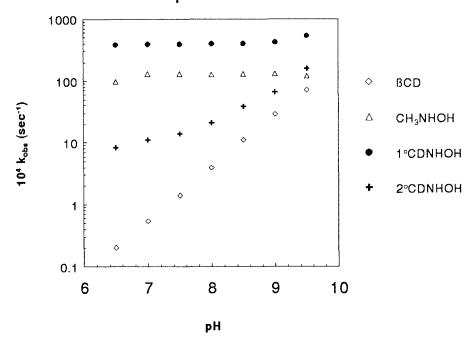


Figure 1. Observed rate constants for the reactions of the nucleophiles shown (10 m $\underline{\text{M}}$) with PNPA (50 $\mu\underline{\text{M}}$) in 0.10 $\underline{\text{M}}$ bis-tris-propane buffer at 25°C. In each case, the formation of $\underline{\text{p}}$ -nitrophenol was followed at 398 nm.

(a 1.6-fold increase). Assuming that substrate binding to the cyclodextrins is largely unaffected by pH in this region (likely given the pK_a's involved), then the contrasting reactivities must be explained in terms of how the hydroxylamine units can interact with the cyclodextrin framework. The pH-independent rate profile of 1° - β CDNHOH parallels that of CH₃NHOH, reasonable if the 1° -side of the cyclodextrinyl ring does not influence the acid-base microenvironment of the attached NHOH group. The rate acceleration of the 1° - β CDNHOH over CH₃NHOH is thus due entirely to preassociation of the substrate.

Alternatively, the pH-rate profile of the 2° - β CDNHOH is indicative of catalysis by base. We interpret this pH effect on f 2 as evidence that the $f 2^{O}$ -side of the cyclodextrinyl ring influences the reactivity of the attatched NHOH group. While the pK $_{
m a}$ of a 2 $^{
m o}$ -OH is about 15-16, the first pK_a of $\beta CD's$ vicinal diol is 12.1 as a result of intramolecular hydrogen bonding. 3 β CD itself is well-known to be inert at neutral pH (the β CD rate in Figure 1 is attributable entirely to buffer catalysis); rather, it demonstrates base-catalysis only above pH 10, when its 2⁰-hydroxyl groups have begun to deprotonate. CPK models suggest hydrogen bonding is similarly possible between the pseudoequatorial C-2 hydroxyl and the C-3 hydroxylamine groups of 2. Several intramolecular hydrogen bonding models involving 5 or 6 membered rings can be invoked that could rationalize the apparent base catalysis. In one such model (Figure 2), the C-2 hydroxy group hydrogen bonds to the nitrogen of the C-3 hydroxylamino group, effectively increasing the acidity of the attatched hydroxyl group. The enhanced acidity of trimethylamine oxide $(pK_a 4.65)^{11}$ relative to that of N,N-dimethylhydroxylamine $(pK_a ca. 12-13)^{12}$ $(CH_3)_3N$ OH pK_3 46 $(CH_3)_3N$ O $(CH_3)_3N$ $(CH_3)_3N$ (is due to the addition of a full positive charge on nitrogen. Jencks has suggested that the high $4.6 \le pK_a \le 12$ 690 - H 690 - Hreactivity of hydroxylamine towards activated esters is due to intramolecular proton transfer from the hydroxylamine oxygen to the nitrogen during attack on a carbonyl. 13 In our model,

intramolecular proton transfer to nitrogen at

Figure 2.

neutral pH is not likely and thus consistent with the observed slower reactivity of the 2^{O} - β CDNHOH compared to CH3NHOH. This would suggest, but not prove, Q-acylation, as we have observed previously using the 1^{O} -side derivative. To the best of our knowledge, this is the first time secondary-side hydrogen bonding has been used to modulate the pKa of a cyclodextrin derivative. Of course, such pKa effects on nucleophilic groups are observed commonly in enzymes themselves.

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Notes and References

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- 7) Obtained from Sachem, Austin, TX.
- 8) TLC was carried out on aluminum-backed silica plates, eluting with n-butanol/ethanol/water (5:4:3 v/v) and visualizing with MeOH/AcOH/H $_2$ SO $_4$ /p-anisaldehyde (200:20:10:1) followed by charring.
- 9) While working with a 60:40 mixture of mono- and disubstituted derivatives is not ideal, this method to obtain secondary side samples offers much higher yields than the previous method (Ueno, A. and Breslow, R. Tetrahedron Lett. 1982, 23, 3451), which yields almost exclusively mono-. To confirm that there is not a qualitative difference between these samples, we prepared 2 using the "old" method. Rate measurements made using this sample of 2 at pH's 7 and 9 gave rates only \leq 15% slower than reported in Figure 1.
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